

Capabilities

Michael Kerrisk, man7.org © 2026

mtk@man7.org

January 2026

Outline

Rev: # d49d0b54be95

3 Capabilities	3-1
3.1 Overview	3-3
3.2 Process and file capabilities	3-8
3.3 Permitted and effective capabilities	3-13
3.4 Setting and viewing file capabilities	3-16
3.5 Exercises	3-23
3.6 Capabilities-dumb and capabilities-aware applications	3-30
3.7 Text-form capabilities	3-34
3.8 Exercises	3-37

Outline

3 Capabilities	3-1
3.1 Overview	3-3
3.2 Process and file capabilities	3-8
3.3 Permitted and effective capabilities	3-13
3.4 Setting and viewing file capabilities	3-16
3.5 Exercises	3-23
3.6 Capabilities-dumb and capabilities-aware applications	3-30
3.7 Text-form capabilities	3-34
3.8 Exercises	3-37

Rationale for capabilities

- Traditional UNIX privilege model divides users into two groups:
 - Normal users, subject to privilege checking based on UID and GIDs
 - Effective UID 0 (superuser) bypasses many of those checks
- Coarse granularity is a problem:
 - E.g., to give a process power to change system time, we must give it all powers of superuser
 - ⇒ No limit on possible damage if program is compromised

Rationale for capabilities

- Capabilities divide power of superuser into small pieces
 - 41 capabilities, as at Linux 6.18
 - Traditional superuser == process that has full set of capabilities
- Goal: replace set-UID-*root* programs with programs that have capabilities
 - Compromise in set-UID-*root* binary \Rightarrow very dangerous
 - Compromise in binary with file capabilities \Rightarrow less dangerous
- Capabilities are not specified by POSIX
 - A 1990s standardization effort was ultimately abandoned
 - POSIX.1e attempted to standardize capabilities, MAC, ACLs, & auditing
 - Something similar exists on a few other systems
 - E.g., Solaris privileges



A selection of Linux capabilities

Capability	Permits process to
CAP_CHOWN	Make arbitrary changes to file UIDs and GIDs
CAP_DAC_OVERRIDE	Bypass file RWX permission checks
CAP_DAC_READ_SEARCH	Bypass file R and directory X permission checks
CAP_IPC_LOCK	Lock memory
CAP_FOWNER	<i>chmod()</i> , <i>utime()</i> , set ACLs on arbitrary files
CAP_KILL	Send signals to arbitrary processes
CAP_NET_ADMIN	Various network-related operations
CAP_SETFCAP	Set file capabilities
CAP_SETGID	Make arbitrary changes to process's (own) GIDs
CAP_SETPCAP	Make changes to process's (own) capabilities
CAP_SETUID	Make arbitrary changes to process's (own) UIDs
CAP_SYS_ADMIN	Perform a wide range of system admin tasks
CAP_SYS_BOOT	Reboot the system
CAP_SYS_NICE	Change process priority and scheduling policy
CAP_SYS_MODULE	Load and unload kernel modules
CAP_SYS_RESOURCE	Raise process resource limits, override some limits
CAP_SYS_TIME	Modify the system clock

More details: [capabilities\(7\)](#) manual page and TLPI §39.2



Supporting capabilities

- To support implementation of capabilities, the kernel must:
 - ① **Check process capabilities** for each privileged operation
 - Cf. traditional check: is process's effective UID 0?
 - ② Provide **system calls** so a process can modify its capabilities
 - So process can *raise* (add) and *lower* (drop) capabilities
 - (Capabilities analog of `set*id()` calls)
 - ③ Support **attaching capabilities to executable files**
 - When file is executed, process gains attached capabilities
 - (Capabilities analog of set-UID-*root* program)
- Implemented as follows:
 - Support for first two pieces available since Linux 2.2 (1999)
 - Support for file capabilities added in Linux 2.6.24 (2008)
 - (Delay due to design concerns rather than technical reasons)



Outline

3 Capabilities	3-1
3.1 Overview	3-3
3.2 Process and file capabilities	3-8
3.3 Permitted and effective capabilities	3-13
3.4 Setting and viewing file capabilities	3-16
3.5 Exercises	3-23
3.6 Capabilities-dumb and capabilities-aware applications	3-30
3.7 Text-form capabilities	3-34
3.8 Exercises	3-37

Process and file capabilities

- Processes and (binary) files can each have capabilities
- **Process capabilities** define power of process to do privileged operations
 - Traditional superuser == process that has **all** capabilities
- **File capabilities** are a mechanism to give a process capabilities when it execs the file
 - Stored in **security.capability** extended attribute
 - (File metadata; `getfattr -m - <file>`)



[TLPI §39.3]

Process and file capability sets

- Capability set: bit mask representing a group of capabilities
- Each **process**[†] has 3[‡] capability sets:
 - Permitted
 - Effective
 - Inheritable
- An **executable file** may have 3 associated capability sets:
 - Permitted
 - Effective
 - Inheritable
- ⚠ Inheritable capabilities are little used; can mostly ignore

[†]In truth, capabilities are a per-thread attribute

[‡]In truth, there are more capability sets



Viewing process capabilities

- `/proc/PID/status` fields (hexadecimal bit masks):

```
$ cat /proc/4091/status
...
CapInh: 0000000000000000
CapPrm: 0000000000200020
CapEff: 0000000000000000
```

- See `<sys/capability.h>` for capability bit numbers
 - Here: `CAP_KILL` (bit 5), `CAP_SYS_ADMIN` (bit 21)
- `getpcaps(8)` (part of *libcap* package):

```
$ getpcaps 4091
Capabilities for `4091': = cap_kill, cap_sys_admin+p
```

- More readable notation, but a little tricky to interpret
 - Here, single '=' means all sets are empty
- `capsh(1)` can be used to decode hex masks:

```
$ capsh --decode=200020
0x0000000000200020=cap_kill, cap_sys_admin
```



man7.org

Modifying process capabilities

- A process can modify its capability sets by:
 - **Raising** a capability (adding it to set)
 - Synonyms: `add`, `enable`
 - **Lowering** a capability (removing it from set)
 - Synonyms: `drop`, `clear`, `remove`, `disable`
 - Mostly, we'll defer discussion of the APIs until later
- There are various rules about changes a process can make to its capability sets



man7.org

Outline

3 Capabilities	3-1
3.1 Overview	3-3
3.2 Process and file capabilities	3-8
3.3 Permitted and effective capabilities	3-13
3.4 Setting and viewing file capabilities	3-16
3.5 Exercises	3-23
3.6 Capabilities-dumb and capabilities-aware applications	3-30
3.7 Text-form capabilities	3-34
3.8 Exercises	3-37

Process permitted and effective capabilities

- *Permitted* : capabilities that process *may* employ
 - “Upper bound” on effective capability set
 - Once dropped from permitted set, a capability can’t be reacquired
 - (But see discussion of `execve()` later)
 - Can’t drop while capability is also in effective set
 - `cap/partial_permitted_set_procs.sh` shows processes with a “partial” permitted set (i.e., nonempty, but not all capabilities)
- *Effective* : capabilities that are currently in effect for process
 - I.e., capabilities that are examined when checking if a process can perform a privileged operation
 - Capabilities can be dropped from effective set and reacquired
 - Operate with least privilege....
 - Reacquisition possible only if capability is in permitted set

File permitted and effective capabilities

- *Permitted* : a set of capabilities that may be added to process's permitted set during `exec()`
- *Effective* :  a **single bit** that determines state of process's new effective set after `exec()` :
 - If set, all capabilities in process's new permitted set are also enabled in effective set
 - Useful for so-called *capabilities-dumb* applications
 - If not set, process's new effective set is empty
- File capabilities allow implementation of capabilities analog of set-UID-*root* program
 - Notable difference: setting effective bit off allows a program to start in **unprivileged** state
 - Set-UID/set-GID programs always start in **privileged** state



[TLPI §39.3.4]

Outline

3 Capabilities	3-1
3.1 Overview	3-3
3.2 Process and file capabilities	3-8
3.3 Permitted and effective capabilities	3-13
3.4 Setting and viewing file capabilities	3-16
3.5 Exercises	3-23
3.6 Capabilities-dumb and capabilities-aware applications	3-30
3.7 Text-form capabilities	3-34
3.8 Exercises	3-37

Setting and viewing file capabilities from the shell

- *setcap(8)* sets capabilities on files
 - Requires privilege (**CAP_SETFCAP** – “set file capabilities”)
 - E.g., to set **CAP_SYS_TIME** as a permitted and effective capability on an executable file:

```
$ cp /bin/date mydate
$ sudo setcap "cap_sys_time=pe" mydate
```

- *getcap(8)* displays capabilities associated with a file

```
$ getcap mydate
mydate = cap_sys_time+ep
```

- *filecap(8)* searches for files that have capabilities:

```
$ filecap                               # Report files in $PATH
$ sudo filecap -a 2> /dev/null      # Check all files on system
                                         # "2>" to discard "not supported" messages
```

- *filecap* is part of the *libcap-ng-utils* package



cap/demo_file_caps.c

```
int main(int argc, char *argv[]) {
    cap_t caps = cap_get_proc();           /* Fetch process capabilities */
    char *str = cap_to_text(caps, NULL);
    printf("Capabilities: %s\n", str);
    ...
    if (argc > 1) {
        fd = open(argv[1], O_RDONLY);
        if (fd >= 0)
            printf("Successfully opened %s\n", argv[1]);
        else
            printf("Open failed: %s\n", strerror(errno));
    }
    exit(EXIT_SUCCESS);
}
```

- Display process capabilities
- Report result of opening file named in *argv[1]* (if present)



cap/demo_file_caps.c

```
$ id -u
1000
$ cc -o demo_file_caps demo_file_caps.c -lcap
$ ./demo_file_caps /etc/shadow
Capabilities: =
Open failed: Permission denied
$ ls -l /etc/shadow
-----. 1 root root 1974 Mar 15 08:09 /etc/shadow
```

- All steps in demos are done from unprivileged user ID 1000
- Binary has no capabilities ⇒ process gains no capabilities
 - “=” in the output means “all capability sets empty”
 - If you instead see “`cap_wake_alarm=i`”, refer to slide 3-22
- `open()` of `/etc/shadow` fails
 - Because `/etc/shadow` is readable only by privileged process
 - Process needs `CAP_DAC_READ_SEARCH` capability



cap/demo_file_caps.c

```
$ sudo setcap cap_dac_read_search=p demo_file_caps
$ ./demo_file_caps /etc/shadow
Capabilities: = cap_dac_read_search+p
Open failed: Permission denied
```

- Binary confers permitted capability to process, but capability is not effective
- Process gains capability in permitted set
- `open()` of `/etc/shadow` fails
 - Because `CAP_DAC_READ_SEARCH` is not in *effective* set



cap/demo_file_caps.c

```
$ sudo setcap cap_dac_read_search=pe demo_file_caps
$ ./demo_file_caps /etc/shadow
Capabilities: = cap_dac_read_search+ep
Successfully opened /etc/shadow
```

- Binary confers permitted capability and has effective bit on
- Process gains capability in permitted and effective sets
- *open()* of `/etc/shadow` succeeds



An aside: CAP_WAKE_ALARM

- When inspecting various “unprivileged” processes on your system, you may see that they have one inheritable capability:

```
$ getpcaps $$  
1131471: cap_wake_alarm=i
```

- Results from change in *systemd* v254 (2023)
- Grants `CAP_WAKE_ALARM` to inheritable/ambient sets of user session processes
 - (This default can be disabled by configuration)
- Allows unprivileged users to set alarms that will wake system from suspend state
 - E.g., *gnome-clocks*
- <https://github.com/systemd/systemd/releases/tag/v254>
<https://github.com/systemd/systemd/issues/17564>



Outline

3 Capabilities	3-1
3.1 Overview	3-3
3.2 Process and file capabilities	3-8
3.3 Permitted and effective capabilities	3-13
3.4 Setting and viewing file capabilities	3-16
3.5 Exercises	3-23
3.6 Capabilities-dumb and capabilities-aware applications	3-30
3.7 Text-form capabilities	3-34
3.8 Exercises	3-37

Notes for online practical sessions

- Small groups in **breakout rooms**
 - Write a note into the Discord `#general` channel if you have a preferred group
- **We will go faster, if groups collaborate** on solving the exercise(s)
 - You can **share a screen** in your room
- I will circulate regularly between rooms to answer questions
- Zoom has an “**Ask for help**” button...
- **Keep an eye on the Discord `#general` channel**
 - Perhaps with further info about exercise;
 - Or a note that the exercise merges into a break
- When your room has finished, write a message in the Discord `#general` channel: “***** Room X has finished *****”
 - Then I have an idea of how many people have finished

Shared screen etiquette

- It may help your colleagues if you **use a larger than normal font!**
 - In many environments (e.g., *xterm*, *VS Code*), we can adjust the font size with **Control+Shift+“+”** and **Control+“-”**
 - Or (e.g., *emacs*) hold down **Control** key and use mouse wheel
- **Long shell prompts** make reading your shell session difficult
 - Use `PS1='\$ '` or `PS1='# '`
- **Low contrast** color themes are difficult to read; change this if you can
- Turn on **line numbering** in your editor
 - In *vim* / *neovim* use: `:set number`
 - In *emacs* use: `M-x display-line-numbers-mode <RETURN>`
 - `M-x` means **Left-Alt+x**
- For collaborative editing, **relative line-numbering is evil....**
 - In *vim* / *neovim* use: `:set nornu`
 - In *emacs*, the following should suffice:

`M-: (display-line-numbers-mode) <RETURN>`
`M-: (setq display-line-numbers 'absolute) <RETURN>`

 - `M-:` means **Left-Alt+Shift+:**



Using *tmate* in in-person practical sessions

In order to share an X-term session with others, do the following:

- Enter the command *tmate* in an X-term, and you'll see the following:

```
$ tmate
...
Connecting to ssh.tmate.io...
Note: clear your terminal before sharing readonly access
web session read only: ...
ssh session read only: ssh S0mErAnD0m5Tr1Ng@lon1.tmate.io
web session: ...
ssh session: ssh S0mEoTheRrAnD0m5Tr1Ng@lon1.tmate.io
```

- Share last “ssh” string with colleague(s) via a text channel
 - Or: “ssh session read only” string gives others read-only access
- Your colleagues should paste that string into an X-term...
- Now, you are sharing an X-term session in which anyone can type
 - Any “mate” can cut the connection to the session with the 3-character sequence `<ENTER> ~`.
- To see above message again: `tmate show-messages`



Exercises

1 This exercises investigates some interactions between set-UID-*root* and file capabilities.

a Compile and run the `cap/demo_file_caps` program, without adding any capabilities to the file, and verify that when you run the binary, the process has no capabilities:

```
$ cc -o demo_file_caps demo_file_caps.c -lcap
$ ./demo_file_caps
```

- The string “=” means all capability sets empty.

b Now make the binary set-UID-*root*:

```
$ sudo chown root demo_file_caps # Change owner to root
$ sudo chmod u+s demo_file_caps # Turn on set-UID bit
$ ls -l demo_file_caps # Verify
-rwsr-xr-x. 1 root mtk 8624 Oct  1 13:19 demo_file_caps
```

c Run the binary and verify that the process gains all capabilities. (The string “=ep” means “all capabilities in the permitted + effective sets”.)

- If the process does not gain all capabilities, check whether the filesystem is mounted with the `nosuid` option (`findmnt -T <dir>`). If it is, either remount the filesystem without that option or do the exercise on a filesystem that is not mounted with `nosuid` (typically, `/tmp` should work).



Exercises

d Take the existing set-UID-*root* binary, add a permitted capability to it, and set the effective capability bit:

```
$ sudo setcap cap_dac_read_search=pe demo_file_caps
```

e When you now run the binary, what capabilities does the process have?

```
$ ./demo_file_caps
```

The kernel’s rules for `exec()` are as follows:

- If the binary has capabilities attached, then the process gets those capabilities.
- Otherwise, if the binary is set-UID-*root*, the process gets all capabilities.

f Suppose you assign empty capability sets to the binary. When you execute the binary, what capabilities does the process then have?

```
$ sudo setcap = demo_file_caps
$ getcap demo_file_caps
$ ./demo_file_caps
```



Exercises

g Use the following command to remove capabilities from the binary and verify that when executed, the binary once more grants all capabilities to the process:

```
$ sudo setcap -r demo_file_caps
$ getcap demo_file_caps
$ ./demo_file_caps
```

2 Use the following command to find the binaries on your system that have capabilities attached:

```
$ sudo filecap -a 2> /dev/null
```

Write the name of your distribution, and paste the list of binaries into the Discord `#general` channel.



Outline

3 Capabilities	3-1
3.1 Overview	3-3
3.2 Process and file capabilities	3-8
3.3 Permitted and effective capabilities	3-13
3.4 Setting and viewing file capabilities	3-16
3.5 Exercises	3-23
3.6 Capabilities-dumb and capabilities-aware applications	3-30
3.7 Text-form capabilities	3-34
3.8 Exercises	3-37

- **Capabilities-dumb** application:
 - (Typically) an existing set-UID-*root* binary whose code we can't change
 - Thus, binary does not know to use capabilities APIs (Binary simply uses traditional *set*uid()* APIs)
 - But want to make legacy binary less dangerous than set-UID-*root*
- Converse is **capabilities-aware** application
 - Program that was written/modified to use capabilities APIs
 - Set binary up with file effective capability bit **off**
 - Program “knows” it must use capabilities APIs to enable effective capabilities



Adding capabilities to a capabilities-dumb application

To convert existing set-UID-*root* binary to use file capabilities:

- Setup:
 - Binary remains set-UID-*root*
 - Enable a subset of file permitted capabilities + **set effective bit on**
 - I.e., **capabilities-dumb == binary with effective bit on**
 - (Note: code of binary isn't changed)
- Operation:
 - When binary is executed, process gets (only) the specified capabilities in its permitted + effective sets
 - IOW: file capabilities override effect of set-UID-*root* bit, which would normally confer **all** capabilities to process
 - Process UID changes between zero and nonzero automatically raise/lower process's capabilities
 - (Covered in more detail later)



How do I work out what capabilities a program needs?

Some possibilities to discover what capabilities are needed by an arbitrary program:

- System call manual pages (section 2) are a good start
 - Look for capability requirements documented in DESCRIPTION or ERRORS
- Run the program (without capabilities) under *strace(1)*:
 - System call failures due to lack of capabilities normally return EPERM in *errno*
 -  But not all EPERM errors are due to lack of capabilities
 - If program displays an error message that seems to relate to capabilities, look in trace output for nearby EPERM errors
 - Using *strace -v* so that *strace* doesn't abbreviate strings may be helpful
- In extreme cases, you may need to read kernel source



Outline

3 Capabilities	3-1
3.1 Overview	3-3
3.2 Process and file capabilities	3-8
3.3 Permitted and effective capabilities	3-13
3.4 Setting and viewing file capabilities	3-16
3.5 Exercises	3-23
3.6 Capabilities-dumb and capabilities-aware applications	3-30
3.7 Text-form capabilities	3-34
3.8 Exercises	3-37

Textual representation of capabilities

- Both `setcap(8)` and `getcap(8)` work with **textual representations** of capabilities
 - Syntax described in `cap_from_text(3)` manual page
- String read left to right, containing space-separated clauses
 - (The capability sets are initially considered to be empty)
- Clause: *caps-list operator flags* [*operator flags*] ...
 - *caps-list* is comma-separated list of capability names, or *all*
 - *operator* is `+`, `-`, or `=`
 - *flags* is zero or more of *p* (permitted), *e* (effective), or *i* (inheritable)
 - Clause can contain multiple [*operator flags*] parts:
 - E.g., `"cap_sys_time+p-i"` (is same as `"cap_sys_time+p cap_sys_time-i"`)



Textual representation of capabilities

Operators:

- `+` operator: raise capabilities in sets specified by *flags*
- `-` operator: lower capabilities in sets specified by *flags*
- `=` operator:
 - Raise capabilities in sets specified by *flags*;
lower those capabilities in remaining sets
 - So, `"CAP_KILL=p"` is same as `"CAP_KILL+p-ie"`
 - *caps-list* can be omitted; defaults to *all*
 - *flags* can be omitted \Rightarrow clear capabilities from all sets
 \Rightarrow Thus: `"="` means clear all capabilities in all sets
- What does `"=p cap_kill,cap_sys_admin+e"` mean?
 - All capabilities in permitted set, plus `CAP_KILL` and `CAP_SYS_ADMIN` in effective set



Outline

3 Capabilities	3-1
3.1 Overview	3-3
3.2 Process and file capabilities	3-8
3.3 Permitted and effective capabilities	3-13
3.4 Setting and viewing file capabilities	3-16
3.5 Exercises	3-23
3.6 Capabilities-dumb and capabilities-aware applications	3-30
3.7 Text-form capabilities	3-34
3.8 Exercises	3-37

Exercises

- 1 What capability bits are enabled by each of the following text-form capability specifications?
 - "`=p`"
 - "`=`"
 - "`cap_setuid=p cap_sys_time+pie`"
 - "`=p cap_kill-p`"
 - "`cap_kill=p = cap_sys_admin+pe`"
 - "`cap_chown=i cap_kill=pe cap_setfcap, cap_chown=p`"
- 2 The program `cap/cap_text.c` takes a single command-line argument, which is a text-form capability string. It converts that string to an in-memory representation and then iterates through the set of all capabilities, printing out the state of each capability within the permitted, effective, and inheritable sets. It thus provides a method of verifying your interpretation of text-form capability strings. Try supplying each of the above strings as an argument to the program (**remember to enclose the entire string in quotes!**) and check the results against your answers to the previous exercise.